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# On Néron models of moduli spaces of theta characteristics

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## ABSTRACT

Let  $f:C \rightarrow B$  be a smoothing of a stable curve  $C$  and  $S_f^*$  be the moduli space of theta characteristics on the smooth fibers of  $f$ . We describe the Néron model  $N(S_f^*)$ , in terms of combinatorial invariants of the dual graph of  $C$ . Furthermore, we provide a modular description of  $N(S_f^*)$  and we construct an immersion  $\psi_f:N(S_f^*) \hookrightarrow J_{\mathcal{E}}^{\sigma}$ , where  $J_{\mathcal{E}}^{\sigma}$  is a suitable relative compactified Jacobian. We show that  $\psi_f$  factors through the locus of  $J_{\mathcal{E}}^{\sigma}$  parametrizing locally free rank-1 sheaves.

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## 1. Introduction

### 1.1. Étale models

Let  $C$  be a projective scheme of dimension 1 over an algebraically closed field of characteristic zero, or, for short, a curve. Let  $f:C \rightarrow B$  be a *general smoothing* of  $C$ , i.e. a family of curves over a smooth and connected curve  $B$ , where  $C$  is non-singular and where  $C = f^{-1}(0)$  for some  $0 \in B$  and  $C^* = f^{-1}(B - 0)$  is smooth over  $B - 0$ . Let  $S_f^*$  be the moduli scheme of theta characteristics on the fibers of  $f|_{C^*}$ , an étale scheme over  $B - 0$ . It makes sense to ask: is it possible to give a description of the maximal étale  $B$ -model of  $S_f^*$ , via combinatorial invariants of  $C$ ? A goal of this paper is to give a positive answer to this question, when  $C$  is a stable curve without non-trivial automorphisms.

This distinguished  $B$ -model is necessarily the Néron model  $N(S_f^*)$  of  $S_f^*$  over  $B$ . More generally, the Néron model provides a smooth and separated  $B$ -model of a scheme defined over the field of fractions of  $B$ . The Néron model is canonically determined by a universal property, known as *the Néron mapping property*. Recall that the theory of Néron models has been introduced in [N] for abelian

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varieties and it became apparent in [R] their connection with the Picard functor. They have been employed in arithmetic and geometry and recently also in the moduli theory of curves (see [C2, Ch.B]).

The posed question has been recently considered in [Ch]. There, it is shown a necessary and sufficient condition for the existence of a finite Néron model of the moduli space of  $r$ -torsion line bundles on the fibers of  $f|_{C^*}$ , via combinatorial invariants of the semistable reduction of  $C$ . More generally, one can consider the Néron model  $N(\text{Pic}^d C^*)$ , where  $\text{Pic}^d C^*$  is the degree- $d$  relative Jacobian of  $f|_{C^*}$ . Assume that  $C$  is a stable curve of genus  $g$  and  $d$  an integer such that  $(d - g + 1, 2g - 2) = 1$ . Let  $\bar{P}_{d,g}$  be the universal Picard variety over  $\bar{M}_g$  constructed in [C1]. In [C2, Theorem 6.1], it is shown that  $N(\text{Pic}^d C^*) = B \times_{\bar{M}_g} \mathcal{P}_{d,g}$ , where  $\mathcal{P}_{d,g}$  is the representable stack version of the open subset of  $\bar{P}_{d,g}$  parametrizing equivalence classes of balanced line bundles on stable curves. However, a theta characteristic of a curve of genus  $g$  has degree  $g - 1$ , then [C2, Theorem 6.1] does not hold in this case. To find a geometric description of  $N(S_f^*)$ , we will need to consider different compactified Jacobians.

## 1.2. The main result

Fix a smoothing  $f: C \rightarrow B$  of a stable curve  $C$  and let  $d = g - 1$ . There are plenty of degree- $d$  relative compactified Jacobian. In [A], it is shown that the ones constructed in [C1, OS, S] are all isomorphic. A different degree- $d$  relative compactified Jacobian was constructed in [AK] for a family of integral curves and more generally in [E] for a family of reduced and connected curves. This compactified Jacobian is denoted by  $J_{\mathcal{E}}^{\sigma}$ . We establish a relationship between  $J_{\mathcal{E}}^{\sigma}$  and the Néron model  $N(S_f^*)$ . A comparison result between  $J_{\mathcal{E}}^{\sigma}$  and Néron models of Picard schemes is contained in [B]. However, the fact that we are considering the subfunctor of theta characteristics, allows us to find a rather explicit geometric description of  $N(S_f^*)$ .

Since we work over an algebraically closed field of characteristic zero, we can apply the techniques and results of [CCC]. There, for a given line bundle  $\mathcal{G}$  of  $C$ , the authors constructed a scheme  $\bar{S}_f(\mathcal{G})$ , finite over  $B$ , compactifying the moduli space of pairs  $(C_b, L_b)$ ,  $C_b$  a fiber of  $f$  and  $L_b$  a square root  $\mathcal{G}|_{C_b}$ . The objects employed in this construction are *limit square roots*, that are certain line bundles supported on nodal curves obtained by blowing-up the curves of the family, i.e. curves obtained by replacing nodal singularities by rational curves, called *exceptional curves*. There is a distinguished combinatorial invariant attached to a blow-up  $X$  of a curve, which is the graph  $\Sigma_X$  whose vertices are the connected components of the residual in  $X$  of the union of the exceptional components and whose edges are the exceptional components of  $X$ . We will describe  $N(S_f^*)$  via combinatorial properties of the graph  $\Sigma_X$ , as follows.

In Section 3.2 we introduce and classify the set  $\text{Ad}_f(C_0)$  of  $f$ -admissible twistors of  $C$  with respect to  $C_0$ , where  $C_0$  is an irreducible component of  $C$ . The set  $\text{Ad}_f(C_0)$  is a subset of the set of line bundles on  $C$  that are limits of trivial bundles on the smooth fibers of  $f$ . Our main result, contained in Theorems 2.2 and 3.9, is:

**Theorem 1.1.** *Let  $f: C \rightarrow B$  be a general smoothing of a stable curve  $C$  of genus  $g \geq 3$  with  $\text{Aut}(C) = \{\text{id}\}$ . Let  $v: \bar{S}_f^v(\omega_f) \rightarrow \bar{S}_f(\omega_f)$  be the normalization map. Then the following properties are equivalent for every  $\xi \in \bar{S}_f(\omega_f)$ :*

- (i)  $\bar{S}_f^v(\omega_f) \rightarrow B$  is étale at a point of  $v^{-1}(\xi)$ ;
- (ii)  $\bar{S}_f^v(\omega_f) \rightarrow B$  is étale at any point of  $v^{-1}(\xi)$ ;
- (iii) if  $\xi$  is supported on the blow-up  $X$  of  $C$ , then  $\Sigma_X$  is bipartite.

Furthermore, for every irreducible component  $C_0 \subset C$  we have:

$$N(S_f^*) \simeq \frac{\bigcup_{T \in \text{Ad}_f(C_0)} S_f(\omega_f \otimes T)}{\sim}$$

where  $S_f(\omega_f \otimes T)$  is the open subscheme of  $\overline{S_f}(\omega_f \otimes T)$  parametrizing limit square roots supported on stable curves and where  $\sim$  denotes the gluing along the generic fiber of  $S_f(\omega_f \otimes T) \rightarrow B$ .

Let  $\mathcal{E}$  be the polarization  $\mathcal{E} = \mathcal{O}_C$  and let  $(J_{\mathcal{E}}^{\sigma})^{\text{free}}$  be the open subspace of  $J_{\mathcal{E}}^{\sigma}$  parametrizing locally free sheaves. Then there exists an immersion:

$$\psi_f : N(S_f^*) \hookrightarrow (J_{\mathcal{E}}^{\sigma})^{\text{free}}.$$

The idea of comparing moduli spaces of roots of line bundles and compactified Jacobians already appears in [CCC] and [F]. Using Theorem 1.1, we are able to recover the combinatorial result of [Ch], which classifies the curves for which  $N(S_f^*)$  is finite over  $B$  in term of their dual graph (see Proposition 2.4).

### 1.3. Notation and terminology

A *curve* is a connected, projective, reduced scheme of dimension 1 over an algebraically closed field of characteristic zero. A *stable (semistable) curve*  $C$  is a nodal curve such that every smooth rational component meets the rest of the curve in at least 3 points (2 points). The genus of  $C$  is  $g_C = h^0(C, \omega_C)$ , where  $\omega_C$  is the dualizing sheaf of  $C$ . If  $Z \subset C$  is a subcurve, the *residual in  $C$  of  $Z$*  is  $Z^c := \overline{C - Z}$ .

A *family of curves* is a proper and flat morphism  $f : C \rightarrow B$  whose fibers are curves. If  $b \in B$ , we denote by  $C_b = f^{-1}(b)$ . A *smoothing* of a curve  $C$  is a family  $f : C \rightarrow B$ , where  $B$  is a smooth, connected, affine curve with a distinguished point  $0 \in B$  such that  $C^* := f^{-1}(B - 0)$  is smooth over  $B - 0$  and  $C = f^{-1}(0)$ . A *general smoothing* is a smoothing with  $C$  smooth.

A nodal curve  $X$  is *obtained by blowing-up* a nodal curve  $C$  at a subset  $\Delta$  of nodes of  $C$ , if there is a morphism  $\pi : X \rightarrow C$  such that, for every  $p_i \in \Delta$ ,  $\pi^{-1}(p_i) = E_i \simeq \mathbb{P}^1$  and  $\pi : X - \bigcup_i E_i \rightarrow C - \Delta$  is an isomorphism. For every  $p_i \in \Delta$ , we call  $E_i$  an *exceptional component*. A family of curves  $\mathcal{X} \rightarrow B$  is a *family of blow-ups of a family  $C \rightarrow B$*  if there exists a  $B$ -morphism  $\pi : \mathcal{X} \rightarrow C$  such that  $\pi|_{X_b} : X_b \rightarrow C_b$  is obtained by blowing-up  $C_b$ , for every  $b \in B$ .

Let  $I$  be a coherent sheaf on a curve  $C$ . We say that  $I$  is *torsion-free* if its associated points are generic points of  $C$ . We say that  $I$  is of *rank 1* if  $I$  is invertible on a dense open subset of  $C$ . We say that  $I$  is *simple* if  $\text{End}(I) = k$ . Each line bundle on  $C$  is torsion-free of rank 1 and simple. If  $I$  is torsion-free of rank 1, we call  $\deg(I) := \chi(I) - \chi(\mathcal{O}_C)$  the *degree* of  $I$ .

Denote by  $\text{Aut}(C)$  the group of automorphism of a curve  $C$ . If  $\Gamma$  is a graph with an orientation, then  $\delta : C^0(\Gamma, \mathbb{Z}/2\mathbb{Z}) \rightarrow C^1(\mathbb{Z}/2\mathbb{Z})$  denotes the coboundary operator. A graph  $\Gamma$  is *bipartite* if there is a partition of its vertices into two sets  $A$  and  $B$  such that each edge of  $\Gamma$  has a vertex in  $A$  and the other vertex in  $B$ . Equivalently,  $\Gamma$  is bipartite if each cycle of  $\Gamma$  has an even number of edges.

## 2. Néron models of moduli spaces of square roots

### 2.1. Review of moduli spaces of limit square roots

Let  $C$  be a nodal curve and  $G \in \text{Pic}(C)$  of even degree. Consider a tern  $(X, L, \alpha)$ , where  $\pi : X \rightarrow C$  is a blow-up of  $C$ ,  $L \in \text{Pic} X$  and  $\alpha$  is a homomorphism  $\alpha : L^{\otimes 2} \rightarrow \pi^*(G)$ . Then  $(X, L, \alpha)$  is a *limit square root* of  $(C, G)$  if:

- (i) the restriction of  $L$  to every exceptional component has degree 1;
- (ii) the map  $\alpha$  is an isomorphism at the points of  $X$  not belonging to an exceptional component;
- (iii) for every exceptional component  $E$  such that  $E \cap E^c = \{p, q\}$  the orders of vanishing of  $\alpha$  at  $p$  and  $q$  add up to 2.

The curve  $X$  is called the *support* of the limit square root. If  $C \rightarrow B$  is a family of stable curves and  $G \in \text{Pic} C$  has even relative degree, then a *limit square root* of  $(C, G)$  is a tern  $(\mathcal{X}, \mathcal{L}, \alpha)$ , where

$\pi : \mathcal{X} \rightarrow \mathcal{C}$  is a family of blow-ups,  $\mathcal{L} \in \text{Pic } \mathcal{X}$  and  $\alpha$  is a homomorphism  $\alpha : \mathcal{L}^{\otimes 2} \rightarrow \pi^* \mathcal{G}$  such that  $(X_b, \mathcal{L}|_{X_b}, \alpha|_{X_b})$  is a limit square root of  $(C_b, \mathcal{G}|_{C_b})$ , for every  $b \in B$ .

If  $X$  is obtained by blowing-up the curve  $C$ , set  $\tilde{X} := \overline{X - \bigcup_{E \in \mathcal{E}(X)} E}$ , where  $\mathcal{E}(X)$  is the set of exceptional components of  $X$ .

**Remark 2.1.** There exists a notion of isomorphism of limit square roots. By [Co, Lemma 2.1], two limit square roots  $(X, L, \alpha)$  and  $(X, L', \alpha')$  are isomorphic if and only if  $L|_{\tilde{X}} \simeq L'|_{\tilde{X}}$ .

Let  $f : \mathcal{C} \rightarrow B$  be a family of nodal curves over a quasi-projective scheme  $B$  and  $\mathcal{G} \in \text{Pic}(\mathcal{C})$  of even relative degree. Let  $\overline{\mathcal{S}}_f(\mathcal{G})$  be the contravariant functor from the category of locally Noetherian  $B$ -schemes to sets, defined on  $T$  by:

$$\overline{\mathcal{S}}_f(\mathcal{G})(T) := \{\text{limit square roots of } q^* \mathcal{G}\} / \sim \quad (2.1)$$

where  $q : \mathcal{C} \times_B T \rightarrow \mathcal{C}$  is the first projection and  $\sim$  means isomorphism of limit square roots. There exists a quasi-projective scheme  $\overline{\mathcal{S}}_f(\mathcal{G})$ , finite over  $B$ , which coarsely represents  $\overline{\mathcal{S}}_f(\mathcal{G})$ . For more details, we refer to [CCC, Theorem 2.4.1]. Abusing notation, we will often denote by  $\xi$  both the isomorphism class of a limit square root and the point of  $\overline{\mathcal{S}}_f(\mathcal{G})$  parametrizing this equivalence class.

Let  $C$  be a nodal curve and  $G \in \text{Pic}(C)$  of even degree. Denote by  $\overline{\mathcal{S}}_C(G)$  the zero-dimensional scheme  $\overline{\mathcal{S}}_{f_C}(G)$ , where  $f_C : C \rightarrow \text{Spec}(k)$  is the structure morphism of  $C$ . In particular,  $\overline{\mathcal{S}}_C(G)$  is in bijection with the isomorphism classes of limit square roots of  $(C, G)$ . If  $f : \mathcal{C} \rightarrow B$  is a family of curves and  $\mathcal{G} \in \text{Pic } \mathcal{C}$ , then the fiber of  $\overline{\mathcal{S}}_f(\mathcal{G}) \rightarrow B$  over  $b \in B$  is  $\overline{\mathcal{S}}_{C_b}(\mathcal{G}|_{C_b})$ . If  $f : \mathcal{C} \rightarrow B$  is a smoothing of a stable curve  $C$  with distinguished point  $0 \in B$  and  $\mathcal{G}$  is a line bundle on  $\mathcal{C}$  of even relative degree, let  $\mathcal{C}^* := f^{-1}(B - 0)$  and  $\mathcal{G}^* = \mathcal{G}|_{\mathcal{C}^*}$  and denote  $S(\mathcal{G}^*) := \overline{\mathcal{S}}_{f|_{\mathcal{C}^*}}(\mathcal{G}^*)$ . Moreover, denote by  $S_f(\mathcal{G})$  the open subscheme of  $\overline{\mathcal{S}}_f(\mathcal{G})$  parametrizing limit square roots supported on stable curves.

Let  $X$  be obtained by blowing-up  $C$ . Let  $\Sigma_X$  be the graph whose vertices (resp. edges) corresponds to the connected components of  $\tilde{X}$  (resp. to the exceptional component of  $X$ ), where an edge connects two vertices if the corresponding exceptional component intersects the corresponding connected components. By [CCC, 4.1], the multiplicity of  $\overline{\mathcal{S}}_C(G)$  in  $\xi$  is  $2^{b_1(\Sigma_X)}$ , if  $(X, L, \alpha)$  is a representative of  $\xi$ . If  $C$  is a stable curve, denote by  $\Gamma_C$  the usual dual graph of  $C$ , whose edges (resp. vertices) corresponds to the nodes (resp. to the irreducible components) of  $C$ . Let  $\Gamma_X$  the subgraph of  $\Gamma_C$  whose edges corresponds to the nodes of  $C$  which are not blown-up to get  $X$ . As observed in [CCC], the graph  $\Sigma_X$  is obtained from  $\Gamma_C$  by contracting the edges contained in  $\Gamma_X$ .

## 2.2. A combinatorial result on the Néron model of $S(\mathcal{G}^*)$

Let  $B$  be a connected Dedekind scheme with field of fractions  $K$ . Let  $X_K$  be a smooth and separated  $K$ -scheme of finite type. A Néron model of  $X_K$  is a  $B$ -scheme  $N(X_K)$ , which is a smooth, separated and finite type  $B$ -model of  $X_K$  and satisfying the following universal property, well-known as *Néron mapping property*: for every smooth  $B$ -scheme  $Y$  and  $K$ -morphism  $\phi_K : Y_K \rightarrow X_K$ , there exists a unique extension of  $\phi_K$  to a  $B$ -morphism  $\phi : Y \rightarrow N(X_K)$ . If a Néron model exists, it is canonically determined, up to a unique isomorphism, by the Néron mapping property.

**Theorem 2.2.** *Let  $f : \mathcal{C} \rightarrow B$  be a general smoothing of a stable curve  $C$  of genus  $g \geq 3$  with  $\text{Aut}(C) = \{\text{id}\}$ . Consider the moduli space  $\overline{\mathcal{S}}_f(\mathcal{G})$ , where  $\mathcal{G} \in \text{Pic } \mathcal{C}$  is of even relative degree, and its normalization  $v : \overline{\mathcal{S}}_f^v(\mathcal{G}) \rightarrow \overline{\mathcal{S}}_f(\mathcal{G})$ . Then the Néron model of  $S(\mathcal{G}^*)$  is isomorphic to the étale locus of  $\overline{\mathcal{S}}_f^v(\mathcal{G}) \rightarrow B$  and the following properties are equivalent for every  $\xi \in \overline{\mathcal{S}}_f(\mathcal{G})$ :*

- (i)  $\overline{\mathcal{S}}_f^v(\mathcal{G}) \rightarrow B$  is étale at a point of  $v^{-1}(\xi)$ ;
- (ii)  $\overline{\mathcal{S}}_f^v(\mathcal{G}) \rightarrow B$  is étale at any point of  $v^{-1}(\xi)$ ;
- (iii) if  $X$  is the support of a representative of  $\xi$ , then  $\Sigma_X$  is bipartite.

**Proof.** Let  $\gamma_f : B \rightarrow \overline{M}_g$  be the moduli morphism, where  $\overline{M}_g$  is the moduli space of Deligne–Mumford stable curves. Since  $\mathcal{C}$  is smooth and  $\text{Aut}(\mathcal{C}) = \{id\}$ , the image of  $\gamma_f$  is smooth at  $\gamma_f(0)$ . Up to shrink  $B$  to an open (analytic) subset containing 0, we can assume  $B \subset \text{Def}(\mathcal{C})$ , where  $\text{Def}(\mathcal{C})$  is the base of the universal deformation of  $\mathcal{C}$ . Let  $(X, L, \alpha)$  be a representative of some  $\xi \in \overline{S}_{\mathcal{C}}(\mathcal{G})$ . Assume that  $X$  is obtained by blowing-up the nodes  $n_1, \dots, n_m$  of  $\mathcal{C}$ . Let  $t_j$  be the coordinate of  $\text{Def}(\mathcal{C})$  such that  $\{t_j = 0\}$  is the locus where the node  $n_j$  persists, for every  $j = 1, \dots, m$ . Using the fact that  $\mathcal{C}$  is smooth and the implicit function theorem, we can describe  $B$  as:

$$(t_1, t_1 h_2(t_1), t_1 h_3(t_1), \dots, t_1 h_{3g-3}(t_1))$$

where  $h_i$  is an analytic function such that  $h_i(0) \in \mathbb{C}^*$ , for  $i = 2, \dots, m$ . Consider the morphism  $\rho : \text{Def}(\mathcal{C}) \rightarrow \text{Def}(\mathcal{C})$  given by:

$$(t_1, \dots, t_m, t_{m+1}, \dots, t_{3g-3}) \xrightarrow{\rho} (t_1^2, \dots, t_m^2, t_{m+1}, \dots, t_{3g-3}).$$

Pick  $U_{\xi} = \rho^{-1}(B)$ . Fix an orientation on the graph  $\Sigma_X$  and let  $e_1, \dots, e_m$  be the edges of  $\Sigma_X$ , corresponding to the exceptional components of  $X$ . Consider the coboundary operator  $\delta : \mathcal{C}^0(\Sigma_X, \mathbb{Z}/2\mathbb{Z}) \rightarrow \mathcal{C}^1(\Sigma_X, \mathbb{Z}/2\mathbb{Z})$ . By [CCC, Lemmas 2.3.2 and 3.3.1], the moduli space  $\overline{S}_f(\mathcal{G})$  is  $U_{\xi}/\text{Im}(\delta)$ , locally analytically at  $\xi$ . Here, an element  $\theta = \sum_{i=1}^m \epsilon_i \cdot e_i \in \mathcal{C}^1(\Sigma_X, \mathbb{Z}/2\mathbb{Z})$ , where  $\epsilon_i \in \mathbb{Z}/2\mathbb{Z}$  for  $i = 1, \dots, m$ , acts on  $U_{\xi}$  via:

$$(t_1, \dots, t_m, t_{m+1}, \dots, t_{3g-3}) \xrightarrow{\theta} (\epsilon_1 t_1, \dots, \epsilon_m t_m, t_{m+1}, \dots, t_{3g-3}).$$

Furthermore,  $\rho|_{U_{\xi}}$  factors through a morphism  $\mu : U_{\xi}/\text{Im}(\delta) \rightarrow B$ , giving locally the finite morphism  $\overline{S}_f(\mathcal{G}) \rightarrow B$  described in Section 2.1.

The tangent cone of  $U_{\xi}$  at the origin is:

$$T_0(U_{\xi}) = \{t_2^2 - h_2(0)t_1^2 = 0, \dots, t_m^2 - h_m(0)t_1^2 = 0, t_{m+1} = 0, \dots, t_{3g-3} = 0\}.$$

Hence  $U_{\xi}$  has  $2^{m-1}$  distinct branches intersecting transversally. Consider the automorphisms  $\theta^-$  of  $\text{Def}(\mathcal{C})$  defined as:

$$(t_1, \dots, t_m, t_{m+1}, \dots, t_{3g-3}) \xrightarrow{\theta^-} (-t_1, \dots, -t_m, t_{m+1}, \dots, t_{3g-3}).$$

Notice that  $\theta^-$  commutes with  $\rho$  and acts over  $U_{\xi}$  preserving the irreducible components of  $T_0(U_{\xi})$  and hence also the branches of  $U_{\xi}$ . We see that  $\rho|_{U_{\xi}}$  is a cover of  $B$  of degree  $2^m$  and, for every branch  $U'_{\xi} \subset U_{\xi}$ , we have that  $\rho|_{U'_{\xi}}$  is a degree-2 cover of  $B$  with involution  $\theta^-|_{U'_{\xi}}$ .

Notice that  $\theta^- \in \text{Im}(\delta)$  if and only if  $\Sigma_X$  is bipartite.

We show (i)  $\Rightarrow$  (iii). Assume that  $\overline{S}_f^v(\mathcal{G}) \rightarrow B$  is étale at a point of  $v^{-1}(\xi)$ . Consider the finite morphism  $\mu : U_{\xi}/\text{Im}(\delta) \rightarrow B$ , giving locally the morphism  $\overline{S}_f(\mathcal{G}) \rightarrow B$ . Then, for at least one branch  $U'_{\xi} \subset U_{\xi}$ , the restriction  $\mu|_{U'_{\xi}/\text{Im}(\delta)}$  is a bijection. Hence  $\theta^-|_{U'_{\xi}} = \bar{\theta}|_{U'_{\xi}}$ , for some  $\bar{\theta} \in \text{Im}(\delta)$ , otherwise  $\mu|_{U'_{\xi}/\text{Im}(\delta)}$  would have degree 2. Since  $\theta^-$  is the only non-trivial automorphism of  $\mathcal{C}^1(\Sigma_X, \mathbb{Z}/2\mathbb{Z})$  preserving  $U'_{\xi}$ , then  $\theta^- = \bar{\theta} \in \text{Im}(\delta)$  and hence  $\Sigma_X$  is bipartite.

We show (iii)  $\Rightarrow$  (ii). If  $\Sigma_X$  is bipartite, then  $\theta^- \in \text{Im}(\delta)$  and hence  $\mu|_{U'_{\xi}/\text{Im}(\delta)}$  is a bijection, for every branch  $U'_{\xi} \subset U_{\xi}$ . In particular,  $\overline{S}_f^v(\mathcal{G}) \rightarrow B$  is étale at every points of  $v^{-1}(\xi)$ . The implication (ii)  $\Rightarrow$  (i) is trivial.

To prove the first statement, by [BLR, Proposition 1.2.4] we can assume without loss of generality that  $B = \text{Spec } R$ , where  $R$  is a discrete valuation ring. By [BLR, Corollary 6.5.4], the Néron model

$N(S(\mathcal{G}^*))$  of  $S(\mathcal{G}^*)$  exists. Let  $\overline{S}_f^v(\mathcal{G})^{et}$  be the étale locus of  $\overline{S}_f^v(\mathcal{G}) \rightarrow B$ . Now,  $N(S(\mathcal{G}^*))$  is étale over  $B$  and it is a birational model of  $\overline{S}_f^v(\mathcal{G})$ . Then we have an immersion  $N(S(\mathcal{G}^*)) \hookrightarrow \overline{S}_f^v(\mathcal{G})^{et}$  and, by the Néron mapping property, a reverse immersion holds as well.  $\square$

**Lemma 2.3.** *Let  $C$  be a stable curve and  $\Gamma_C$  its dual graph. Let  $X$  be a blow-up of  $C$  and  $\Gamma_X$  the subgraph of  $\Gamma_C$  associated to  $\Gamma_X$  as explained in Section 2.1. Then  $X$  is the support of a representative of some  $\xi \in \overline{S}_C(\omega_C)$  if and only if  $\Gamma_X$  can be written as a possibly empty union of cycles of  $\Gamma_C$  whose mutual intersections contains no edge of  $\Gamma_C$ .*

**Proof.** See [CC, Section 1.3, p. 6].  $\square$

**Proposition 2.4.** *Let  $f: C \rightarrow B$  be a general smoothing of a stable curve  $C$  of genus  $g \geq 3$  with  $\text{Aut}(C) = \{\text{id}\}$ . Then  $N(S(\omega_f^*))$  is finite over  $B$  if and only if for every pair  $(\Gamma_1, \Gamma_2)$  of cycles of  $\Gamma_C$  the intersection  $\Gamma_1 \cap \Gamma_2$  contains an even number of edges of  $\Gamma_C$ .*

**Proof.** Assume that the condition of the statement holds. If  $\Gamma$  is a cycle of  $\Gamma_C$ , then, applying the condition of the statement to the pair  $(\Gamma, \Gamma)$ , we see that  $\Gamma$  has an even number of edges. In particular  $\Gamma_C$  is bipartite. Pick  $\xi \in \overline{S}_C(\omega_C)$  and let  $X$  be the support of any representative of  $\xi$ . If  $X$  is obtained by blowing-up  $C$  at the whole set of its nodes, then  $\Sigma_X = \Gamma_C$  and  $\Sigma_X$  is bipartite. Otherwise,  $\Sigma_X$  is obtained by contracting  $\Gamma_X$ . Combining Lemma 2.3 and the condition of the statement, we have that the cycles of  $\Sigma_X$  have an even number of edges, and then  $\Sigma_X$  is bipartite. Then  $\Sigma_X$  is bipartite in any case. By Theorem 2.2 we have that  $N(S(\omega_f^*)) \simeq \overline{S}_f^v(\omega_f)$ , then  $N(S(\omega_f^*))$  is finite over  $B$ .

Conversely, assume that the condition of the statement does not hold. By Theorem 2.2, it suffices to show that  $\overline{S}_f^v$  is not étale over  $B$ . We have two cases. In the first case, there exists a cycle of  $\Gamma_C$  with an odd number of edges, i.e.  $\Gamma_C$  is not bipartite. By Lemma 2.3, there exists a  $\xi \in \overline{S}_C(\omega_C)$  with a representative supported on the curve  $X$  obtained by blowing-up  $C$  at the whole set of its nodes and  $\Sigma_X = \Gamma_C$ . By Theorem 2.2,  $\overline{S}_f^v$  is not étale at  $\xi$ . In the second case,  $\Gamma_C$  is bipartite and there are two different cycles  $\Gamma_1$  and  $\Gamma_2$  such that  $\Gamma_1 \cap \Gamma_2$  is an odd number of edges of  $\Gamma_C$ . Consider the graph  $\Sigma$  obtained by  $\Gamma_C$  by contracting  $\Gamma_C$  at the edges of  $\Gamma_1$ . In  $\Sigma$ , the cycle obtained by contracting  $\Gamma_2$  at the edges of  $\Gamma_1$  has an odd number of edges, then  $\Sigma$  is not bipartite. Let  $X_{\Gamma_1}$  be obtained by blowing-up  $C$  at the nodes whose corresponding edges are not contained in  $\Gamma_1$ . By Lemma 2.3 there is a  $\xi \in \overline{S}_C(\omega_C)$  with a representative supported on  $X_{\Gamma_1}$  and  $\Sigma_{X_{\Gamma_1}} = \Sigma$ . Hence  $\Sigma_{X_{\Gamma_1}}$  is not bipartite and  $\overline{S}_f^v$  is not étale at  $\xi$ .  $\square$

### 3. Néron models of $S(\omega_f^*)$ within $J_{\mathcal{E}}^\sigma$

#### 3.1. The compactified Jacobian $J_{\mathcal{E}}^\sigma$

Let  $f: C \rightarrow B$  be a family of curves. Then  $f$  admits enough sections through the  $B$ -smooth locus of  $C$  if there are sections  $\sigma_1, \dots, \sigma_n: B \rightarrow C$  of  $f$  such that:

- (i)  $\sigma_i$  factors through the  $B$ -smooth locus of  $C$  for  $i = 1, \dots, n$ ;
- (ii) for every  $b \in B$ , every irreducible component of  $C_b$  contains  $\sigma_i(b)$  for some  $i = 1, \dots, n$ .

Let  $f: C \rightarrow B$  be a family of curves, where  $B$  is a locally Noetherian scheme. Assume that  $f$  admits enough sections through the  $B$ -smooth locus of  $C$ . Let  $\mathbf{J}_d$  be the contravariant functor from the category of locally Noetherian  $B$ -schemes to sets, associating to  $T$  the set of equivalence classes of  $B$ -flat, coherent sheaves  $\mathcal{I}$  on  $C \times_B T/T$  whose fibers over  $B$  are degree  $d$ , simple, rank-1, torsion-free sheaves. Here,  $\mathcal{I}_1$  and  $\mathcal{I}_2$  are equivalent if there is an invertible sheaf  $M$  on  $T$  such that  $\mathcal{I}_1 \simeq \mathcal{I}_2 \otimes p^*M$ , for  $p: C \times_B T \rightarrow T$  the projection. In [E], it is shown that  $\mathbf{J}_d$  is finely represented by a scheme  $J_d$ . Furthermore, one can consider distinguished subschemes of  $J_d$  as follows. Fix an integer  $d$ .

A polarization on  $C$  is a vector bundle  $\mathcal{E}$  on  $C$  of rank  $r > 0$  and relative degree  $r(g-1-d)$ . We will denote by  $\mathcal{E}$  the canonical polarization on  $C$ :

$$\mathcal{E} = \begin{cases} \omega_f^{\otimes(g-1-d)} \oplus \mathcal{O}_C^{\oplus(2g-3)}, & d \neq g-1, \\ \mathcal{O}_C, & d = g-1, \end{cases} \quad (3.1)$$

where  $\omega_f$  is the relative dualizing sheaf of the family  $f$ .

Let  $I$  be a simple, torsion free, rank-1 sheaf of degree  $d$  on a curve  $C$ . Then  $I$  is semistable with respect to a polarization  $E$  of rank  $r$ , if for every non-empty, proper subcurve  $Z \subsetneq C$ ,

$$\chi(I_Z) \geq \frac{-\deg E|_Z}{r}, \quad (3.2)$$

where  $I_Z$  is the maximum torsion-free quotient of  $I|_Z$ . Furthermore,  $I$  is stable if (3.2) is strict for every  $Z$ . Let  $W$  (resp.  $p$ ) be a component of  $C$  (resp. a non-singular point of  $C$ ). Then  $I$  is  $W$ -quasistable (resp.  $p$ -quasistable) with respect to a polarization  $E$  if  $I$  is semistable with respect to  $E$  and (3.2) is strict for every  $Z$  such that  $W \subseteq Z$  (resp. for every  $Z$  such that  $p \in Z$ ).

Fix a section  $\sigma: B \rightarrow C$  of  $f$  through the  $B$ -smooth locus of  $f$ . A simple, torsion free, rank-1 sheaf  $\mathcal{I}$  on  $C \times_B T/T$  is semistable (resp. stable, resp.  $\sigma$ -quasistable) with respect to a polarization  $\mathcal{E}$ , if  $\mathcal{I}|_{C_b}$  is semistable (resp. stable, resp.  $\sigma(b)$ -quasistable) with respect to  $\mathcal{E}|_{C_b}$ , for every  $b \in B$ . Consider the subspace  $J_{\mathcal{E}}^{\sigma}$  of  $J_d$  parametrizing sheaves  $\sigma$ -semistable with respect to the canonical polarization  $\mathcal{E}$  defined in (3.1). By [E, Theorem A],  $J_{\mathcal{E}}^{\sigma}$  is proper over  $B$ . Notice that  $J_{\mathcal{E}}^{\sigma}$  finely represents the subfunctor  $\mathbf{J}_f^{\sigma}$  of  $\mathbf{J}_d$  of the sheaves which are  $\sigma$ -quasistable with respect to  $\mathcal{E}$ .

**Lemma 3.1.** Let  $C$  be a stable curve of genus  $g \geq 3$  and let  $M$  be a line bundle on  $C$  of degree  $d$ . Then:

- (i)  $M$  is semistable (resp. stable) with respect to the canonical polarization if and only if for every non-empty, proper subcurve  $Z \subsetneq C$ :

$$\left| \deg M|_Z - \frac{d}{2g-2} \deg \omega_C|_Z \right| \leq \frac{\#(Z \cap Z^c)}{2} \quad (3.3)$$

(resp. the strict inequality holds in (3.3)).

- (ii)  $M$  is  $W$ -quasistable with respect to the canonical polarization  $E$  if and only if (3.3) is satisfied and:

$$\deg M|_Z - \frac{d}{2g-2} \deg \omega_C|_Z > -\frac{\#(Z \cap Z^c)}{2},$$

for every non-empty, proper subcurve  $Z \subsetneq C$  such that  $W \subseteq Z$ .

**Proof.** Since  $M \in \text{Pic}(C)$ ,  $M$  is semistable (resp. stable) with respect to the canonical polarization if and only if for each non-empty, proper subcurve  $Z \subsetneq C$ :

$$\chi(M|_Z) \geq (-\deg E|_Z)/\text{rank}(E) \quad (3.4)$$

(resp. (3.4) is strict for each  $Z$ ). We have  $\chi(M|_Z) = \deg(M|_Z) + 1 - g_Z$  and  $\deg E|_Z = (g-1-d)\deg \omega_C|_Z$ . Thus  $M$  is semistable (resp. stable) if and only if for each non-empty, proper subcurve  $Z \subsetneq C$ :

$$\begin{aligned} \deg(M|_Z) &\geq g_Z - 1 - \frac{(g-1-d)\deg\omega_C|_Z}{2g-2} \\ &= \frac{d(\deg\omega_C|_Z)}{2g-2} + g_Z - 1 - \frac{\deg\omega_C|_Z}{2} = \frac{d(\deg\omega_C|_Z)}{2g-2} - \frac{\#(Z \cap Z^c)}{2} \end{aligned} \quad (3.5)$$

(resp. if and only if (3.5) is strict for each  $Z$ ). If  $M$  is semistable (resp. stable), we can apply the inequality (3.5) to  $Z^c$ , and we get:

$$\deg(M|_Z) \leq \frac{d(\deg\omega_C|_Z)}{2g-2} + \frac{\#(Z \cap Z^c)}{2} \quad (3.6)$$

(resp. we get that (3.6) is strict). Then  $M$  is semistable (resp. stable) if and only (3.3) holds (resp. the strict inequality holds in (3.3)), for each non-empty, proper subcurve  $Z \subsetneq C$ . The item (ii) is similar.  $\square$

### 3.2. Admissible twistors

Let  $f: \mathcal{C} \rightarrow B$  be a smoothing of a semistable curves  $C$ . Recall that an  $f$ -twister of  $C$ , or simply a *twister* of  $C$ , is a line bundle  $T$  on  $C$  such that  $T \simeq \mathcal{O}_C(D)|_C$ , where  $D$  is a Cartier divisor of  $\mathcal{C}$  with support contained in  $C$ .

**Definition 3.2.** Let  $C$  be a stable curve and  $T$  a twister of  $C$ . We say that a line bundle  $M \in \text{Pic } C$  is a  $T$ -spin curve if  $M^{\otimes 2} \simeq \omega_C \otimes T$ . If  $C_0$  is an irreducible component of  $C$ , a twister  $T$  of  $C$  is *admissible with respect to  $C_0$*  if the set of  $T$ -spin curves is non-empty and every  $T$ -spin curve is  $C_0$ -quasistable with respect to the canonical polarization  $\mathcal{O}_C$ .

Recall that  $T$ -spin curves have been used in [P] to study degenerations of theta characteristics to non-stable curves.

**Lemma 3.3.** Let  $f: \mathcal{C} \rightarrow B$  be a general smoothing of a stable curve  $C$ , where  $B$  is the spectrum of a discrete valuation ring, and let  $T$  be an  $f$ -twister of  $C$ . Then the following properties are equivalent:

- (i)  $T$  is admissible with respect to  $C_0$ .
- (ii) The set of  $T$ -spin curves is non-empty and there is an integer  $r_T \geq 0$  and a unique partition of  $C$  into non-empty subcurves  $Z_0, \dots, Z_{r_T}$  such that:
  - (a) for every connected component  $Z'_h$  of  $Z_h$  we have  $Z'_h \cap Z_{h-1} \neq \emptyset$ , for every  $h = 1, \dots, r_T$ ;
  - (b)  $C_0 \subset Z_0$  and  $Z_0$  is connected;
  - (c)  $T \simeq \mathcal{O}_C(D)|_C$ , where  $D = \sum_{i=1}^{r_T} i \cdot Z_i$  and:

$$T \otimes \mathcal{O}_{Z_h} \simeq \begin{cases} \mathcal{O}_{Z_h}(\sum_{p \in Z_h \cap Z_{h+1}} p) & \text{if } h = 0, \\ \mathcal{O}_{Z_h}(\sum_{\substack{p \in Z_h \cap Z_{h+1} \\ q \in Z_{h-1} \cap Z_h}} (p - q)) & \text{if } h = 1, \dots, r_T - 1, \\ \mathcal{O}_{Z_h}(-\sum_{p \in Z_{h-1} \cap Z_h} p) & \text{if } h = r_T. \end{cases} \quad (3.7)$$

**Proof.** Assume that (ii) holds and let  $L$  be a  $T$ -spin curve. For every non-empty subcurve  $Z \subsetneq C$  we have  $\deg_Z T = \sum_{p \in Z \cap Z^c} m_p$ , for some  $m_p \in \{-1, 0, 1\}$  and hence  $|\deg_Z T| \leq \#(Z \cap Z^c)$ . Thus  $L$  is semistable by Lemma 3.1. Let  $Z$  be a non-empty, proper subcurve  $Z \subsetneq C$ . Set  $T|_Z \simeq \mathcal{O}_Z(\sum_{p \in Z \cap Z^c} m_p p)$ , for  $m_p \in \mathbb{Z}$ . Then  $\deg T|_Z \geq -\#(Z \cap Z^c)$ . We need to prove that, if  $C_0 \subseteq Z$ , then  $\deg T|_Z > -\#(Z \cap Z^c)$ . Assume by contradiction that  $\deg T|_Z = -\#(Z \cap Z^c)$ . Since  $C_0 \subseteq Z_0$ , we have  $Z \cap Z_0 \neq \emptyset$ . We claim that  $Z_0 \subseteq Z$ . In fact, assume that  $Z_0 \not\subseteq Z$ . Since  $Z_0$  is connected, there is an irreducible component  $Y_0$  such that  $Y_0 \subseteq \overline{Z_0 - Z}$  and  $Y_0 \cap Z \neq \emptyset$ . Consider a point  $p_0 \in Y_0 \cap Z$ .



We have  $p_0 \in Z \cap Z^c$  and  $m_{p_0} = 0$ , then  $\deg T|_Z > -\#(Z \cap Z^c)$ , which is a contradiction. Hence  $Z_0 \subseteq Z$ . If  $Z_0 \not\subseteq Z_1$ , then either we have an irreducible component  $Y_1$  such that  $Y_1 \subseteq \overline{Z_1 - Z}$  and  $Y_1 \cap Z \neq \emptyset$ , or there exists a connected component  $W_1$  of  $Z_1$  such that  $Z \subseteq W_1^c$ . In the first case, arguing as before for  $Y_0$ , we get a contradiction. In the second case, the condition (a) implies that  $\emptyset \neq W_1 \cap Z_0 \subseteq W_1 \cap Z$ . Consider  $p_1 \in W_1 \cap Z$ . Then by construction  $p_1 \in Z \cap Z^c$  and  $m_{p_1} = 1$ , and hence  $\deg T|_Z > -\#(Z \cap Z^c)$ , which is a contradiction. Then  $Z_1 \subseteq Z$ . Iterating, we get an integer  $h_Z \in \{0, \dots, r_T - 1\}$  such that  $\bigcup_{h=0}^{h_Z} Z_h$  is a connected component of  $Z$ . But  $m_p = 1$  for each  $p \in Z_{h_Z} \cap Z_{h_Z}^c$ , thus  $\deg T|_{Z_h} = \#(Z_h \cap Z_h^c)$  and hence  $\deg T|_Z > \#(Z \cap Z^c)$ , which is a contradiction.

Assume that (i) holds. There is a semistable  $T$ -spin curve and by Lemma 3.1, for each non-empty subcurve  $Z \subsetneq C$ , we have  $|\deg_Z T| \leq \#(Z \cap Z^c)$ . Let  $C_1 \dots C_\gamma$  be the irreducible components of  $C$ . Let  $T \simeq \mathcal{O}_C(D)|_C$  for a divisor  $D = \sum_{1 \leq i \leq \gamma} a_i C_i$ ,  $a_i \in \mathbb{Z}$ . Since  $\mathcal{O}_C(nC)|_C \simeq \mathcal{O}_C$  for every  $n \in \mathbb{Z}$ , we can assume without loss of generality that  $\min_{1 \leq i \leq \gamma} a_i = 0$ . Set:

$$Z_h := \bigcup_{a_i=h} C_i \quad \text{for every } h = 0, \dots, r_T,$$

where  $r_T := \max_{1 \leq i \leq \gamma} a_i$ . We prove that  $r_T$  and  $Z_0, \dots, Z_{r_T}$  satisfy (ii). If  $Z_0 = C$  we are done. Otherwise:

$$T \otimes \mathcal{O}_{Z_0} \simeq \mathcal{O}_{Z_0} \left( \sum_{p \in Z_0 \cap Z_0^c} m_p p \right),$$

for some  $0 < m_p \in \mathbb{Z}$ . Now,  $|\deg_{Z_0} T| \leq \#(Z_0 \cap Z_0^c)$ , hence:

$$\#(Z_0 \cap Z_0^c) \geq |\deg_{Z_0} T| = \sum_{p \in Z_0 \cap Z_0^c} m_p \geq \#(Z_0 \cap Z_0^c).$$

Thus  $m_p = 1$ , for every  $p \in Z_0 \cap Z_0^c$ . In particular, we have:

$$T \otimes \mathcal{O}_{Z_0^c} \simeq \mathcal{O}_{Z_0^c} \left( - \sum_{p \in Z_0 \cap Z_0^c} p \right)$$

and hence  $Z_1 \supset \{C_i: C_i \cap Z_0 \neq \emptyset, C_i \subset Z_0^c\}$ . Notice that  $Z_1 \neq \emptyset$  and  $Z_0 \cap Z_h$  if and only if  $h = 1$ . Assume that  $C \neq Z_0 \cup Z_1$ . For every  $p \in (Z_1 \cap Z_1^c) - Z_0$ , there exists  $0 < m_p \in \mathbb{Z}$  such that:

$$T \otimes \mathcal{O}_{Z_1} \simeq \mathcal{O}_{Z_1} \left( \sum_{p \in (Z_1 \cap Z_1^c) - Z_0} m_p p - \sum_{q \in Z_0 \cap Z_1} q \right).$$

Arguing as before for the subcurve  $Z_0 \cup Z_1$ , we get  $m_p = 1$ , for  $p \in (Z_1 \cap Z_1^c) - Z_0$ . Hence:

$$Z_2 \supset \{C_i: C_i \cap Z_1 \neq \emptyset, C_i \subset (Z_0 \cup Z_1)^c\}.$$

Then  $Z_2 \neq \emptyset$  and  $Z_1 \cap Z_h \neq \emptyset$  if and only if  $|h - 1| \leq 1$ . Iterating, we get that  $Z_h \neq \emptyset$  for  $h = 0, \dots, r_T$  and  $Z_{h_1} \cap Z_{h_2} \neq \emptyset$  if and only if  $|h_1 - h_2| \leq 1$ . Notice that (c) and (3.7) follow by construction.

We show (b). If  $T$  is trivial, we have nothing to prove. By (3.7) we have  $\deg T|_{Z_0^c} = -\#(Z_0^c \cap Z_0)$ . If  $C_0 \not\subseteq Z_0$ , we get a contradiction, being  $C_0 \subseteq Z_0^c$  and  $T$  admissible. Then  $C_0 \subseteq Z_0$ . Assume that  $Z_0$  is not connected and let  $Z'_0$  be a connected component of  $Z_0$  such that  $C_0 \not\subseteq Z'_0$ . Then  $C_0 \subseteq (Z'_0)^c$  and  $\deg T|_{(Z'_0)^c} = -\#((Z'_0)^c \cap Z'_0)$ , again a contradiction.

We show (a). Assume that there exists a connected component  $Z'_h$  of  $Z_h$  such that  $Z'_h \cap Z_{h-1} = \emptyset$ , for some  $h = 1, \dots, r_T$ . Then  $C_0 \subseteq (Z'_h)^c$  and  $\deg T|_{(Z'_h)^c} = -\#((Z'_h)^c \cap Z'_h)$ , a contradiction.

Notice that the partition  $Z_0, \dots, Z_{r_T}$  of  $C$  is the unique satisfying (ii).  $\square$

**Definition 3.4.** Keep the notations of Lemma 3.3. We call the partition  $Z_0, \dots, Z_{r_T}$  of  $C$  the partition of  $C$  induced by  $T$ . We denote by  $\text{Ad}_f(C_0)$  the set of the admissible  $f$ -twisters  $T$  of  $C$  with respect to  $C_0$ . We say that a node  $p$  of  $C$  is  $T$ -twisted if  $p \in Z_{i-1} \cap Z_i$ , for some  $i = 1, \dots, r_T$ .

**Remark 3.5.** Let  $T$  and  $\bar{T}$  be two admissible  $f$ -twisters of  $C$  with respect to  $C_0$  and let  $Z_0, \dots, Z_{r_T}$  and  $\bar{Z}_0, \dots, \bar{Z}_{r_{\bar{T}}}$  be the partitions of  $C$  induced respectively by  $T$  and  $\bar{T}$ . Let  $S$  (resp.  $\bar{S}$ ) be the set of  $T$ -twisted nodes (resp.  $\bar{T}$ -twisted nodes). If  $S = \bar{S}$  and  $Z_0 = \bar{Z}_0$ , then  $T = \bar{T}$ . Indeed, the connected components of the two partitions are the same, because they are obtained by taking the desingularization of  $C$  at the nodes of  $S$ . Since  $Z_0 = \bar{Z}_0$ , we have  $T = \bar{T}$  by condition (a) of Lemma 3.3.

**Definition 3.6.** Let  $f: C \rightarrow B$  be a general smoothing of a nodal curve  $C$ . Let  $X$  be obtained by blowing-up  $C$  at a set  $\Delta$  of nodes of  $C$ . Let  $\pi: X \rightarrow C$  be the blow-up morphism. Consider the smoothing  $f': \mathcal{X} \rightarrow B'$  of  $X$ , where  $B'$  is the degree-2 covering of  $B$ , totally ramified over  $0 \in B$ , and  $\mathcal{X}$  is the blow-up of  $C \times_{B'} B$  at  $\Delta$ . Fix  $M \in \text{Pic } C$  and  $L \in \text{Pic } X$ . We say that  $L$  and  $M$  are  $f$ -related if there exists an  $f'$ -twister  $T$  of  $X$  such that  $L \simeq \pi^*M \otimes T$ .

**Lemma 3.7.** Let  $f: C \rightarrow B$  be a general smoothing of a stable curve  $C$  of genus  $g \geq 3$ , where  $B$  is the spectrum of a discrete valuation ring. Let  $T$  be an admissible  $f$ -twister of  $C$ . Let  $Z_0, \dots, Z_{r_T}$  be the partition of  $C$  induced by  $T$ . Assume that  $M$  is a  $T$ -spin curve and that a representative  $(X, L, \alpha)$  of some  $\xi \in \overline{SC}(\omega_C)$  fullfills the following properties:

- (i)  $X$  is obtained by blowing-up  $C$  at the  $T$ -twisted nodes;
- (ii) for every  $h = 0, \dots, r_T$ , the restriction of  $L$  to  $Z_h$  is:

$$L \otimes \mathcal{O}_{Z_h} \simeq \begin{cases} M \otimes \mathcal{O}_{Z_h} (-\sum_{p \in Z_h \cap Z_{h+1}} p) & \text{if } h = 0, \dots, r_T - 1, \\ M \otimes \mathcal{O}_{Z_h} & \text{if } h = r_T. \end{cases}$$

Then there exists a representative  $(X, L_M, \alpha_M)$  of  $\xi$  such that  $M$  and  $L_M$  are  $f$ -related.

**Proof.** Let  $B' \rightarrow B$  be the degree 2 cover of  $B$ , totally ramified over  $0 \in B$ . Let  $\mathcal{X}$  be obtained by blowing-up  $C \times_B B'$  at the set of the  $T$ -twisted nodes of  $C$ . Then the projection  $f': \mathcal{X} \rightarrow B'$  is a smoothing of the fiber  $X = (f')^{-1}(0)$  and  $X$  is obtained by blowing-up  $C$  at the set of  $T$ -twisted nodes. Let  $\pi: X \rightarrow C$  be the induced blow-up morphism. Notice that  $\tilde{X}$ , the residual in  $X$  of the union of the exceptional component of  $X$ , is the disjoint union of  $Z_0, \dots, Z_{r_T}$ . Furthermore,  $\mathcal{X}$  is smooth at every node lying on an exceptional component of  $X$  and has a singularity of type  $A_1$  at the remaining nodes. Let  $\mathcal{E}_h$  be the set of exceptional components of  $X$  intersecting  $Z_{h-1}$  and  $Z_h$ , for each  $h = 1, \dots, r_T$ . Consider the Cartier divisor of  $\mathcal{X}$ :

$$D_M = -\sum_{h=1}^{r_T} \left( h \cdot Z_h + h \cdot \sum_{E \in \mathcal{E}_h} E \right).$$

Pick the  $f'$ -twister  $T_M = \mathcal{O}_{\mathcal{X}}(D_M) \otimes \mathcal{O}_X$  of  $X$ . Set  $L_M := \pi^*M \otimes T_M \in \text{Pic } X$ . By construction,  $L_M$  and  $M$  are  $f$ -related. We are done if we show that we can construct a representative  $(X, L_M, \alpha_M)$  of  $\xi$ . First of all, we define  $\alpha_M$  as follows. By construction,  $L_M|_E \simeq \mathcal{O}_E(1)$  for every exceptional component  $E$  and by condition (ii) we get  $L_M|_{Z_h} = L|_{Z_h}$  for every  $h = 0, \dots, r_T$ . By definition,  $M^{\otimes 2} \simeq \omega_C \otimes T$

and, by formula (3.7) of Lemma 3.3:

$$(\omega_C \otimes T)|_{Z_h} \simeq \begin{cases} \omega_{Z_h}(\sum_{p \in Z_h \cap Z_{h+1}} 2p) & \text{if } h = 0, \dots, r_T - 1, \\ \omega_{Z_h} & \text{if } h = r_T. \end{cases}$$

Thus,  $(L_M|_{Z_h})^{\otimes 2} \simeq \omega_{Z_h}$ , for every  $h = 0, \dots, r_T$ . Let  $\alpha_M : (L_M)^{\otimes 2} \rightarrow \pi^*(\omega_X)$  be the homomorphism which agrees on each  $Z_h$  with:

$$\alpha_h : (L_M|_{Z_h})^{\otimes 2} \simeq \omega_{Z_h} \simeq \pi^*(\omega_C) \otimes \mathcal{O}_{Z_h} \left( - \sum_{p \in Z_h \cap Z_h^c} p \right) \hookrightarrow \pi^*(\omega_C) \otimes \mathcal{O}_{Z_h}$$

and which is zero on the exceptional components of  $X$ . Now,  $\tilde{X} = \bigcup_{i=0}^{r_T} Z_i$ , then  $L_M|_{\tilde{X}} \simeq L|_{\tilde{X}}$  and  $(X, L_M, \alpha_M)$  is a representative of  $\xi$  by Remark 2.1.  $\square$

Let  $f : \mathcal{C} \rightarrow B$  be a general smoothing of a stable curve  $C$ . For any  $f$ -twister  $T$  of  $\mathcal{C}$  consider the moduli space:

$$\overline{S}_f(\omega_f \otimes T) \rightarrow B$$

whose fiber over  $b \in B$  parametrizes limit square roots of  $\omega_f \otimes T \otimes \mathcal{O}_{C_b}$ . These moduli spaces are isomorphic away from the special fiber. Hence they have the same normalization, which, in the notations of Theorem 2.2, we write as:

$$\nu_T : \overline{S}_f^v(\omega_f) \rightarrow \overline{S}_f(\omega_f \otimes T).$$

Let  $S_f(\omega_f \otimes T)$  be the open subscheme of  $\overline{S}_f(\omega_f \otimes T)$  parametrizing limit square root supported on stable curves. Notice that  $S_f(\omega_f \otimes T)$  is étale over  $B$ , by [CCC, 4.1]. In particular, there is an immersion  $S_f(\omega_f \otimes T) \hookrightarrow \overline{S}_f^v(\omega_f)$ .

**Remark 3.8.** Let  $f : \mathcal{C} \rightarrow B$  be a smoothing of a nodal curve  $C$  and let  $\mathcal{G} \in \text{Pic}(\mathcal{C})$ . Let  $L \in \text{Pic}(C)$  be endowed with an isomorphism  $\iota_0 : L^{\otimes 2} \rightarrow \mathcal{G}|_C$ . By [CCC, Remark 3.0.6.], up to shrinking  $B$  to a complex neighborhood of 0, there exists a line bundle  $\mathcal{L} \in \text{Pic}(\mathcal{C})$  extending  $L$  and an isomorphism  $\iota : \mathcal{L}^{\otimes 2} \rightarrow \mathcal{G}$  extending  $\iota_0$ . Moreover, if  $(\mathcal{L}', i')$  is another extension of  $(L, \iota_0)$ , then there is an isomorphism  $\chi : \mathcal{L} \rightarrow \mathcal{L}'$ , restricting to the identity, and with  $\iota = i' \circ \chi^{\otimes 2}$ .

**Theorem 3.9.** Let  $f : \mathcal{C} \rightarrow B$  be a general smoothing of a stable curve  $C$  of genus  $g \geq 3$  with  $\text{Aut}(C) = \{\text{id}\}$ . Let  $C_0$  be an irreducible component of  $C$ . Then:

$$N(S(\omega_f^*)) \simeq \frac{\bigcup_{T \in \text{Ad}_f(C_0)} S_f(\omega_f \otimes T)}{\sim},$$

where  $\sim$  denotes the gluing along the generic fiber of  $S_f(\omega_f \otimes T) \rightarrow B$ .

Assume that  $f$  admits enough sections through the  $B$ -smooth locus of  $f$  and let  $\sigma$  be a section of  $f$  through the  $B$ -smooth locus of  $\mathcal{C}$  such that  $\sigma(0) \in C_0$ . Fix the canonical polarization  $\mathcal{E} = \mathcal{O}_{\mathcal{C}}$  on  $\mathcal{C}$ . If  $(J_{\mathcal{E}}^{\sigma})^{\text{free}}$  is the open subscheme of  $J_{\mathcal{E}}^{\sigma}$  parametrizing locally free sheaves, then there exists an immersion:

$$\psi_f : N(S(\omega_f^*)) \hookrightarrow (J_{\mathcal{E}}^{\sigma})^{\text{free}}.$$

**Proof.** We can assume without loss of generality that  $B$  is the spectrum of a discrete valuation ring. Recall that  $S_f(\omega_f \otimes T) \hookrightarrow \overline{S}_f^v(\omega_f)$ , for every twister  $T$ . By Theorem 2.2, it suffices to show the equivalence of the following properties, for every  $\xi \in \overline{S}_f(\omega_f)$ :

- (i)  $\overline{S}_f^v(\omega_f) \rightarrow B$  is étale at  $\xi' \in v^{-1}(\xi)$ ;
- (ii) there exists a unique  $T \in \text{Ad}_f(C_0)$  such that  $\overline{S}_f^v(\omega_f)$  and  $S_f(\omega_f \otimes T)$  are isomorphic, locally at  $\xi' \in v^{-1}(\xi)$ .

We show (i)  $\Rightarrow$  (ii). Let  $(X, L, \alpha)$  be any representative of  $\xi$ . We show that there exists a  $T$  satisfying (ii). If  $X = C$ , then it suffices to set  $T = \mathcal{O}_C \in \text{Ad}_f(C_0)$ . Assume that  $X \neq C$  and let  $\pi: X \rightarrow C$  be the blow-up map and  $\tilde{X}_0, \dots, \tilde{X}_c$  be the connected components of  $\tilde{X}$ , corresponding to the vertices of  $\Sigma_X$ . Let  $v_i$  be the vertex of  $\Sigma_X$  corresponding to  $\tilde{X}_i$ . By Theorem 2.2, the graph  $\Sigma_X$  is bipartite. Assume that  $C_0 \subset \tilde{X}_0$  and set  $A_0 := \{v_0\}$ . For every  $i \geq 1$ , define inductively the set  $A_i$  as the set of vertices  $v$  of  $\Sigma_X$  such that there exists an edge containing  $v$  and a vertex of  $A_{i-1}$ . Let  $A_0, \dots, A_r$  be the non-empty sets defined in this way. Abusing notation, we can see  $\tilde{X}_i$  as a subcurve of  $C$ . Consider the divisor  $D = \sum_{i=0}^r \sum_{v_j \in A_i} i \cdot \tilde{X}_j$  of  $C$ . Set  $T := \mathcal{O}_C(D)|_C$ . Notice that  $Z_0 = \tilde{X}_0$  and  $T$  satisfies the conditions of Lemma 3.3 (ii), then  $T \in \text{Ad}_f(C_0)$ . Let  $Z_0, \dots, Z_{r_T}$  be the partition of  $C$  induced by  $T$ . Being  $\Sigma_X$  bipartite, each edge of  $\Sigma_X$  has a vertex in  $A_{i-1}$  and the other vertex in  $A_i$ , for some  $i = 1, \dots, r$ . In particular,  $X$  is obtained by blowing-up  $C$  at the  $T$ -twisted nodes. Consider the subset  $\mathcal{M}$  of  $S_f(\omega_f \otimes T)$  defined as the set of  $T$ -spin curve  $M \in \text{Pic } C$  satisfying for every  $h = 0, \dots, r_T$ :

$$M \otimes \mathcal{O}_{Z_h} \simeq \begin{cases} L \otimes \mathcal{O}_{Z_h}(\sum_{p \in Z_h \cap Z_{h+1}} p) & \text{if } h = 0, \dots, r_T - 1, \\ L \otimes \mathcal{O}_{Z_h} & \text{if } h = r_T. \end{cases}$$

Notice that  $S_f(\omega_f \otimes T) \rightarrow B$  is étale at each  $M \in \mathcal{M}$ . Then  $S_f(\omega_f \otimes T)$  and  $\overline{S}_f^v(\omega_f)$  are isomorphic, locally at each  $M \in \mathcal{M}$ . Our goal is to show that  $\overline{S}_f^v(\omega_f)$  and  $S_f(\omega_f \otimes T)$  are isomorphic, locally at every  $\xi' \in v^{-1}(\xi)$ . It is enough to show that  $\mathcal{M} = v^{-1}(\xi)$ . By Lemma 3.7, for every  $M \in \mathcal{M}$ , there is a representative  $(X, L_M, \alpha_M)$  of  $\xi$  such that  $L_M$  and  $M$  are  $f$ -related. Keep the notations of Definition 3.6. Since  $L_M$  and  $M$  are  $f$ -related, it follows from Remark 3.8 that  $L_M$  and  $\pi^*M$  are limits of the same family of theta characteristics on the family  $f'|_{X-X}$ . Thus,  $M \in v^{-1}(\xi)$  and hence  $\mathcal{M} \subset v^{-1}(\xi)$ . Now, the ramification index of  $\psi: \overline{S}_f(\omega_f) \rightarrow B$  at  $\xi$  is  $2^{b_1(\Sigma_X)}$ , then  $|v^{-1}(\xi)| \leq 2^{b_1(\Sigma_X)}$  and, by construction,  $|\mathcal{M}| = 2^{b_1(\Sigma_X)}$ . This implies  $\mathcal{M} = v^{-1}(\xi)$ .

We claim that  $T$  is uniquely determined within  $\text{Ad}_f(C_0)$ , i.e. if  $\overline{S}_f^v(\omega_f)$  and  $S_f(\omega_f \otimes \bar{T})$  are isomorphic, locally at  $\xi' \in v^{-1}(\xi)$  for some  $\bar{T} \in \text{Ad}_f(C_0)$ , then  $\bar{T} = T$ . Indeed, in this case, there exists a  $\bar{T}$ -spin curve  $\bar{M}$  such that  $\bar{M} \in v^{-1}(\xi)$ . We claim that  $X$  is obtained by blowing-up  $C$  at the  $\bar{T}$ -twisted nodes. Otherwise, let  $\bar{X}$  be obtained by blowing-up  $C$  at the  $\bar{T}$ -twisted nodes, with  $\bar{X} \neq X$ . By Lemma 3.7, there exists  $\bar{\xi} \in \overline{S}_C(\omega_C)$ , with a representative  $(\bar{X}, \bar{L}, \bar{\alpha})$ , where  $\bar{L}$  is  $f$ -related to  $\bar{M}$ . Arguing as before, we get  $\bar{M} \in v^{-1}(\bar{\xi})$  and hence  $\xi = \bar{\xi}$ , contradicting Remark 2.1. Now, let  $\bar{Z}_0, \dots, \bar{Z}_{r_{\bar{T}}}$  be the partition of  $C$  induced by  $\bar{T}$ . Since  $X$  is obtained by blowing-up  $C$  at the  $\bar{T}$ -twisted nodes, the set of  $\bar{T}$ -twisted nodes coincides with the set of  $\bar{T}$ -twisted nodes. Then  $Z_0 \cap Z_0^c$  are  $\bar{T}$ -twisted nodes. Being  $\emptyset \neq C_0 \subseteq Z_0 \cap \bar{Z}_0$  and  $\bar{Z}_0$  connected, we have  $\bar{Z}_0 \subseteq Z_0$ . Arguing similarly we get  $Z_0 \subseteq \bar{Z}_0$  and hence  $\bar{Z}_0 = Z_0$ . Then  $\bar{T} = T$ , by Remark 3.5. The implication (ii)  $\Rightarrow$  (i) is trivial.

Now we prove the second part. First of all, we show the existence of a morphism  $S_f(\omega_f \otimes T) \rightarrow J_{\mathcal{E}}^\sigma$ , for every  $T \in \text{Ad}_f(C_0)$ . In fact, let  $\mathcal{S}_f(\omega_f \otimes T)$  be the subfunctor of the functor  $\overline{\mathcal{S}}_f(\omega_f \otimes T)$  defined in (2.1), associating to a locally Noetherian  $B$ -scheme  $T$  the set of isomorphism classes of limit square roots of  $\omega_{f'}$  supported on  $C \times_B T$ , for  $f': C \times_B T \rightarrow T$  the first projection. By definition of admissible twister, we have a transformation of functors:

$$\mathcal{S}_f(\omega_f \otimes T) \rightarrow J_{\mathcal{E}}^\sigma \xrightarrow{\sim} \mathbf{Hom}(-, J_{\mathcal{E}}^\sigma).$$

Now,  $S_f(\omega_f \otimes T)$  coarsely represents  $\mathcal{S}_f(\omega_f \otimes T)$ . Therefore, we get a morphism  $S_f(\omega_f \otimes T) \rightarrow J_{\mathcal{E}}^{\sigma}$ . By the first part of the theorem, we have:

$$N(S(\omega_f^*)) \simeq \frac{\bigcup_{T \in \text{Ad}_f(C_0)} S_f(\omega_f \otimes T)}{\sim}$$

hence we get a morphism  $\psi_f: N(S(\omega_f^*)) \rightarrow J_{\mathcal{E}}^{\sigma}$ , which is injective because the line bundles parametrized by the points of  $N(S(\omega_f^*))$  over  $0 \in B$  are non-isomorphic  $T$ -spin curves. Now,  $\psi_f: N(S(\omega_f^*)) \rightarrow \text{Im } \psi_f$  is an injective  $B$ -morphism and  $N(S(\omega_f^*))$  is  $B$ -smooth. Then  $\text{Im } \psi_f$  is  $B$ -smooth and  $\psi_f$  is an immersion. By construction  $\text{Im } \psi_f \subset (J_{\mathcal{E}}^{\sigma})^{\text{free}}$ .  $\square$

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